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#### 1. <u>PURPOSE</u>

The purpose of this policy is to establish the content, authority, and access of official personnel files.

## 2. PERSONS AFFECTED

All personnel.

#### 3. POLICY

It shall be the policy of the Hawai'i Police Department to establish, maintain, and secure a current record of a member's history with the department.

## 4. <u>RESPONSIBILITIES</u>

- 4.1. The Assistant Chief of the Administrative Bureau is designated as the department's Personnel Officer and shall be responsible for ensuring that personnel records <u>are properly maintained and kept current. The Personnel Officer</u> shall maintain file security <u>and ensure that only</u> authorized personnel have access to the files.
- 4.2. All <u>personnel</u> shall be responsible for submitting to the Personnel Officer any changes with regard to marital status, children, emergency contact, residence and telephone number, via the Human Resources Section on the Change of Status form, as soon as practicable.

## 5. PROCEDURES

- 5.1. Components of Personnel File
  - 5.1.1. Only one official <u>personnel</u> file per person shall be maintained.
  - 5.1.2. The file shall be a current record of the person's history with the department and shall contain the following <u>items</u> concerning/pertaining to the person:
    - a. A service record form.
    - b. Job application form(s).

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- c. <u>Employee Performance Appraisals</u>.
- d. Copies of personnel orders <u>pertaining to new hire</u>, <u>promotion</u>, <u>and intra-departmental movement</u>.
- e. All letters of commendation.
- f. Reports of all <u>sustained complaints and Verbal Warning Supervisory Documentation</u>.
- g. All other essential information.
- h. Any other information personnel may wish to include in their file. Personnel shall submit a memorandum to the Personnel Officer stating the reason for such a request.
- 5.2. Persons Authorized to Inspect Personnel Files
  - 5.2.1. <u>Personnel</u> holding the rank of captain and above may inspect personnel files.
  - 5.2.2. With the permission of the Personnel Officer and in his/her presence or the presence of his/her <u>designee</u>, commanders are authorized to view the files of <u>personnel</u> under their command for official purposes only.
  - 5.2.3. Human resources personnel are authorized and responsible for the security and maintenance of departmental personnel files to include duties such as ensuring files are secured when unattended, removing and re-securing files for authorized purposes, inspecting files, and updating files by adding/removing documents.
  - 5.2.4. The secretary to the Chief's Office and Administrative <u>personnel</u> may view any part of any file in connection with official business or in compliance with General Orders.
  - 5.2.5. In the presence of the Personnel Officer or his/her representative, personnel are authorized to view their own file upon request and

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by appointment with the Assistant Chief of the Administrative Bureau. <u>Personnel</u> shall be permitted to examine their entire file.

5.2.6. <u>Personnel</u> shall be given a copy of any material in their file if it is to be used in connection with a grievance or a personnel hearing.

#### 5.3. Confidential Nature of Personnel Files

- 5.3.1. All personnel files are to be treated as confidential and under no circumstances will <u>personnel</u> discuss any entry in the file of any other <u>personnel</u> except in the line of official duty.
- 5.3.2. Any inquiries from sources outside the police department regarding personnel shall be referred to the Personnel Officer.

# 5.4. Altering Records in Personnel Files

- 5.4.1. Only the Police Chief, the Deputy Police Chief, or the Personnel Officer may authorize any changes in the entries contained in any personnel file.
- 5.4.2. Records of disciplinary action and derogatory material shall be handled in the manner prescribed by the most current Collective Bargaining Agreement.
- 5.4.3. <u>Personnel</u> found guilty of altering, deleting, destroying, or adding anything to any file except under the authority of this General Order shall be subject to disciplinary action.